



Docket No.: 4600-0121PUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Susumu YAMAGUCHI et al.

Application No.: 10/578,223

Confirmation No.: Not Yet Assigned

Filed: May 4, 2006

Art Unit: Not Yet Assigned

For: METHOD OF APPLICATION OF BODY
TASTE ENHANCER COMPRISING LONG-
CHAIN HIGHLY UNSATURATED FATTY
ACID AND/OR ITS ESTER

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL
REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE
1.114 RCE APPLICATION)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II. COPIES

- ☒ a. Copies of cited U.S. patents and patent application publications are not included.
Copies of foreign patent documents and non-patent literature are included.

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☐ b. Some or all of the documents listed on the PTO-SB08 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

☐ c. REFERENCES PREVIOUSLY CITED OR SUBMITTED - Pursuant to 37 C.F.R. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Appl. No(s) and U.S. Filing Date

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

☐ a. DOCUMENTS IN THE ENGLISH LANGUAGE - The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy.

☒ b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows: **The Kato, Fragrance Journal, article was cited in the International Search Report that issued in connection with copending application No. 10/578,254.**

☐ c. ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

☒ d. OTHER - **The following additional information is provided for the Examiner's consideration: English abstracts are provided for each non-English foreign references.**

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IV. FEES (check one box)

☐ a. This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

☐ b. This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required.

☐ c. This Information Disclosure Statement is being filed within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required.

☐ d. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.

☐ e. This Information Disclosure Statement is being filed concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.

☒ f. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account for the fee as required by 37 C.F.R. § 1.17(p).

☐ g. This Information Disclosure Statement is being filed before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

☐ No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached.
or

☐ See the statement below. No fee is required.

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V. STATEMENT UNDER 37 C.F.R. § 1.97(e)(check only one box)

The undersigned hereby states that:

- ☐ a. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or
- ☐ b. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ c. No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
- ☐ d. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

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VI. PAYMENT OF FEES (check one box)

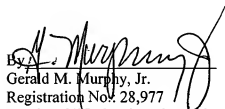
- ☐ The required fee is listed on the attached Fee Transmittal.
- ☒ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: SEP 19 2006

Respectfully submitted,


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Attachment(s):

- ☒ PTO-SB08
☒ Documents
☐ Foreign Search Report
☐ Fee
☐ Other:



SEP 19 2006

PTO/SB/05a/b (07-05)
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Substitute for form 1449, PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/578,223
		Filing Date	May 4, 2006
		First Named Inventor	Susumu YAMAGUCHI
		Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
Sheet 1 of 2	Attorney Docket Number	4600-0121PUS1	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
B.M.	AA*	US-2006/0073578-A1	04-06-2006	Higashiyama et al.	
B.M.	AB*	US-5,120,412-A	08-09-1992	Mazur et al.	
B.M.	AC*	US-5,783,247-A	07-21-1998	Van Der Heijden et al.	
B.M.	AD*	US-6,166,075-A	12-26-2000	Gilbertson	
B.M.	AE*	US-3,689,289	09-05-1972	Perret	
B.M.	AF*	US-6,117,905-A	09-12-2000	Higashiyama et al.	
B.M.	AG*	US-6,034,130-A	03-07-2000	Wang et al.	
B.M.	AH*	US-6,297,279	10-02-2001	Wang et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
B.M.	BA	JP-11-89513-A (w/abstract)	04-06-1999		
B.M.	BB	JP-10-99048-A (w/abstract)	04-21-1998		
B.M.	BC	JP-9-13075-A (w/abstract)	01-14-1997		
B.M.	BD	JP-10-70992-A (w/abstract)	03-17-1998		
B.M.	BE	JP-63-44843-A (w/abstract)	02-25-1988		
B.M.	BF	JP-9-176579-A (w/abstract)	07-08-1997		
B.M.	BG	JP-11-12592-A (w/abstract)	01-19-1999		
B.M.	BH	JP-3-220155-A (w/abstract)	09-27-1991		
B.M.	BI	JP-2002-95439-A (w/translation)	04-02-2002		✓
B.M.	BJ	JP-2001-40386-A (w/abstract)	02-13-2001		
B.M.	BK	WO-98/16119-A1 (w/abstract)	04-23-1998		
B.M.	BL	WO-95/01106	01-12-1995		
B.M.	BM	JP-2001-346517-A (w/abstract)	12-18-2001		
B.M.	BN	EP-0 956 774-A1	11-17-1999		
B.M.	BO	EP-1 211 305-A1	06-05-2002		
B.M.	BP	WO-01/10989-A1 (w/abstract)	02-15-2001		
B.M.	BQ	WO-03/051139-A1 (w/translation)	06-26-2003		
B.M.	BR	JP-2001-78702-A (w/abstract)	03-27-2001		
B.M.	BS	JP-9-263784-A (w/abstract)	10-07-1997		
B.M.	BT	JP-6-17282-A (w/abstract)	06-21-1994		
B.M.	BU	JP-10-191886-A (w/abstract)	07-28-1998		
B.M.	BV	JP-9-13076-A (w/abstract)	01-14-1997		
B.M.	BW	JP-4-197134-A (w/abstract)	07-16-1992		
B.M.	BX	EP-0 558 022-B1	09-01-1993		
B.M.	BY	JP-2003-306690-A (w/abstract)	10-31-2003		
B.M.	BZ	JP-5-304972-A (w/abstract)	11-19-1993		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ CITE NO. These application(s) which are marked with an single asterisk () next to the Cite No. are not supplied under 37 CFR 1.98(a)(2)(iii) because that application was filed after June 30, 2003 or is available in the P/W. ² Applicant's citation designation number (optional). ³ See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷ Applicant is to place a check mark here if English language translation is attached.

Examiner Signature	/Bhaskar Mukhopadhyay/	Date Considered	05/15/2010
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PTO/SB/08a/b (07-05)
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known		
				Application Number	10/578,223	
Sheet		2	of	2	Filing Date	May 4, 2006
					First Named Inventor	Susumu YAMAGUCHI
					Art Unit	Not Yet Assigned
					Examiner Name	Not Yet Assigned
					Attorney Docket Number	4600-0121PUS1

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
/B.M./	CA	H. KATO, <i>Fragrance Journal</i> , 1996, Vol. 24, No. 5, pp. 77-81.		
/B.M./	CB	R. L. WOLFF et al., "ARACHIDONIC, EICOSAPENTAENOIC, AND BIOSYNTHETICALLY RELATED FATTY ACIDS IN THE SEED LIPIDS FROM A PRIMITIVE GYMNOSPERM, AGATHIS ROBUSTA" <i>Lipids</i> , vol. 34, no. 10, 1999, pages 1083-1097, XP001015626 Champaign, IL, US ISSN: 0024-4201		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Bhaskar Mukhopadhyay/	Date Considered	05/15/2010
Birch, Stewart, Kolasch & Birch, LLP		GMM/las	